intellect A

# **Business Assurance**

## Trust and Compliance through a Multi-Agent approach

By accurately reviewing large volumes of advice sets, the multi-agent business assurance solution positions clients as industry leaders in regulatory compliance and consumer protection.

### **Key Highlights**





Near-real time assurance



# Near 100% Coverage

Of assurance on advice given



# **Greatly Enhanced**

Customer satisfaction and retention

#### Challenge

The difficulty of ensuring regulatory compliance and mitigating the risk of mis-selling has proven costly for Financial Services, highlighted by the UK's PPI scandal with financial service institutions paying \$50 billion in compensation.

Business assurance teams manually review financial advice given by advisors based on complex regulatory guidelines and client-specific details, a highly resource-intensive process. Much advice remains unchecked, raising concerns about potential mis-selling and reputational damage.

#### Solution

Our generative AI solution, powered by Purple Fabric, automates the process of analyzing financial advice against regulatory guidelines and client-specific details. A team of AI expert agents collaborate to accurately review large volumes of advice sets, ensuring compliance and mitigating the risk of mis-selling with human in the loop as desired.





#### Results

Our multi-agent solution works autonomously to increase coverage of advice given to 100%, mitigating mis-selling risks and providing clients experience substantial cost savings, operational efficiency.

# Get in touch today!

purplefabric@intellectdesign.com